



Agenda

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- **Introductions**
- **Executive Summary**
- **Objectives and actions**
- **F&TRC meeting with FSA RDR implementation team**
- **Fund identification codes**
- **Platform to platform re-registration:**
 - Important update on changes to The Law of Property Act and what this means for electronic platform to platform re-registration
 - Which fund management firms are going to “opt in” to adopt the new legislation and which will not?
- **Transaction histories and re-registration:**
 - How will platforms treat legacy transaction histories when accepting assets onto platform?
- **Data security & Anti money laundering:**
 - Key recommendations from Adviser Forum Data Security Working Group.
 - Secure email
 - Recent communication from the FSA concerning AML and adviser firms
- **Removing barriers to allow for the free flow of information between adviser CMS systems and platforms**
- **Integrations between platforms and client management systems:**
 - Distributors to clarify their need to populate investment planning tools with details of legacy assets held in their CMS – is this an important requirement?
- **RDR, Advisers, Fund Managers & Platforms:**
 - Key messages from the FSA
 - What are the major impacts and changes firms foresee?
 - How will advisers seek to record remuneration data on platforms?
- **AOB**