



Agenda

- This pack contains the slides presented at the Adviser L&P meeting held on 22 April 2009.
- Slides with **purple banner** represent the meeting presentation; slides with **gold banner** represent additional views and conclusions from the meeting. Members' comments, additional text and actions are shown in **purple text**.

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• Competition Act Reminder and Introductions	2 - 5
• Executive Summary	6 - 7
• Objectives and actions	8 - 10
• Third party investment data supplied to advisers: <ul style="list-style-type: none"> • Review the issues previously identified (fund ID codes and adviser charging) plus other issues (product provider fund codes, asset allocation data, fund sector classifications). • Agree prioritisation of issues (which should be addressed first) and outcomes. 	11 - 24
• Platform to platform re-registration: <ul style="list-style-type: none"> • Update understanding on barriers to re-registration and review progress made. • Discuss proposal to assist advisers understand which of their major fund management partners will be implementing electronic messaging to process re-registration requests. • Discuss how electronic instructions might work in practice. 	25 - 32
• Accessing transaction history on a ceding platform: <ul style="list-style-type: none"> • Understand adviser issues and risks associated with current processes. • Review draft set of requirements looking at what information advisers need to access and why • Can platforms deliver what advisers need? What issues and challenges will platforms face? 	33 - 39
• AOB	40 - 41