



Agenda

IM

Agenda	Slide
<ul style="list-style-type: none"> • Executive Summary 	5 - 7
<ul style="list-style-type: none"> • Objectives and actions 	8
<ul style="list-style-type: none"> • Data security <ul style="list-style-type: none"> • FSA views on adviser responsibility including areas such as systems and controls, access rights to client data, third party suppliers, passwords and user accounts. • What can software vendors do to assist advisers meet their requirements? 	9 - 11
<ul style="list-style-type: none"> • Meeting between client management systems, portals and providers 	12
<ul style="list-style-type: none"> • Integrations <ul style="list-style-type: none"> • Feedback from Wrap Forum and the recommendation to develop a set of “high level principles” that firms can use in lieu of any standards. • Update on what progress client management systems are making with the fund management community (including TPAs’) • FTRC to present back details of a new “Automation Rating” • Making e-new business attractive for advisers 	13 - 18
<ul style="list-style-type: none"> • Contract Enquiry <ul style="list-style-type: none"> • How can client management systems work with providers to deliver valuations within the boundaries of the CE service capability? 	19 - 23
<ul style="list-style-type: none"> • E-commissions and adviser remuneration structures <ul style="list-style-type: none"> • Update from the “priority data requirements study”. • Discussion on adviser remuneration will consider the traditional and emerging models. What types of remuneration structures will evolve? • How do software vendors need to react to accommodate the emerging models? 	24 - 26
<ul style="list-style-type: none"> • AOB 	27