



## Understanding which data CMS' can support for platform integration

Objective	FTRC to develop a data capture document to send to CMS vendors to establish data fields that are supported within their systems.
Rationale	<p>Platforms state that in their experience they are finding that CMS vendors are not always able to hold/accept the data they are capable of sending.</p> <p>It is agreed that it would be a useful validation exercise to approach all the CMS vendors to establish if they can hold all of the data outlined by advisers.</p> <p>It is further agreed that this exercise should include the Contract Enquiry v2.1 standard as this holds additional information such as aspects of transaction history that some advisers are now asking for.</p>
Information requested by:	Adviser Forum, specifically the Platform community
Notes	None
Frequency of study	Once (conducted Decemebr 2008)
Respondants	1st Software, Capita Financial Software (outstanding), Intelliflo, Plum, SSP Swift, Focus Solutions, JCS (unable to respond at present), True Potential (no response)



## 1st Software

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
<b>Tracking re-registrations / transfers onto platform - messages/data a platform could send a CMS</b>				
1	Acknowledgement of a completed re-registration form being received	Other (please specify in additional comments)	PDF document	Attached to an activity entry. A specific activity type can be added to filter out
2	Re-registration form sent to provider/fund manager	Yes, have a data field to capture this	PDF document	Attached to an activity entry. A specific activity type can be added to filter out re-reg forms sent
3	Re-registered assets received	Yes, have a data field to capture this	e-message	Either an e-message or manually
4	Re-registered assets outstanding	No		
5	Acknowledgement of a completed transfer form being received	Other (please specify in additional comments)	PDF document	Attached to an activity entry. A specific activity type can be added to filter out transfer forms sent
6	Transfer form sent to provider/fund manager	Other (please specify in additional comments)	PDF document	Attached to an activity entry. A specific activity type can be added to filter out transfer forms received
7	Transferred assets received	Yes, have a data field to capture this	e-message	Either an e-message or manually
8	Transferred assets outstanding	No		
<b>New business tracking - messages/data a platform could send a CMS</b>				
9	Full copy of e-application	Yes, have a data field to capture this	PDF document	Attached to an activity entry. A specific activity type can be added to filter out app forms
10	New Business Tracking message	Yes, have a data field to capture this	e-message	
11	Adviser ICRN	Yes, have a data field to capture this	e-message	
12	Initial commission expectation amount	Yes, have a data field to capture this	Other (please specify in additional comments)	manually added
13	Trail commission expectation amount	Yes, have a data field to capture this	Other (please specify in additional comments)	manually added
14	Date of purchase/transaction	Yes, have a data field to capture this	Other (please specify in additional comments)	manually added
15	Name of funds/holdings	Yes, have a data field to capture this	Other (please specify in additional comments)	manually added
16	Number of units purchased	Yes, have a data field to capture this	Other (please specify in additional comments)	manually added

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
Valuations - messages/data a platform could send a CMS				
17	Valuation date	Yes, have a data field to capture this	e-message	
18	Year to date subscriptions i.e. how much more the client can invest in e.g. an ISA	Other (please specify in additional comments)	Other (please specify in additional comments)	We have a premium history which provides these details
19	Policyholder details	Yes, have a data field to capture this	e-message	
20	Contract details	Yes, have a data field to capture this	e-message	
21	Current value of contract	Yes, have a data field to capture this	e-message	
22	Transfer value	Yes, have a data field to capture this	e-message	
23	Death claim value	No		
24	Exit Charge	No		
25	Market value reduction (MVR)	Other (please specify in additional comments)	e-message	This is added as another unit to reflect the value
26	Terminal bonus	Other (please specify in additional comments)	e-message	This is added as another unit to reflect the value
27	Beneficiary details	Yes, have a data field to capture this	Other (please specify in additional comments)	manually added
28	Retirement age	Yes, have a data field to capture this	Other (please specify in additional comments)	manually added
29	Details of tax free cash (paid and/or still available)	No		
30	Critical yields	No		
31	Revised maximum income levels	No		
32	Fund choice	Yes, have a data field to capture this	e-message	
33	Fund split	Yes, have a data field to capture this	e-message	
34	Total fund value	Yes, have a data field to capture this	e-message	
35	Projected pension at retirement	No		
36	Tax free cash	No		

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
<b>Transaction history - messages/data a platform could send a CMS</b>				
37	Date of sale/redemption	Yes, have a data field to capture this	e-message	
38	Number if units sold/redeemed	Yes, have a data field to capture this	e-message	
39	Value of holdings at sale/redemption	Yes, have a data field to capture this	e-message	
40	Date of fund switch	Yes, have a data field to capture this	e-message	
41	Name of funds switched	Yes, have a data field to capture this	e-message	
42	Number of units switched	Yes, have a data field to capture this	e-message	
43	Value of amount switched	No		
44	Charge applied for switch	No		
45	Type of unit (accumulation/income)	Yes, have a data field to capture this	e-message	
46	Income taken (percentage/yield or fixed amount)	No		
47	Income reinvested	No		
48	Frequency of payment (monthly, yearly, quarterly, half yearly, single)	No		
49	Details of cash transactions	Yes, have a data field to capture this	e-message	
<b>e-commissions - messages/data a platform could send a CMS</b>				
50	Client forename	Yes, have a data field to capture this	e-message	
51	Client forename	Yes, have a data field to capture this	e-message	
52	Initial commission	Yes, have a data field to capture this	e-message	
53	renewal commission (traditional)	Yes, have a data field to capture this	e-message	
54	fund based renewal	Yes, have a data field to capture this	e-message	
55	initial indemnity	Yes, have a data field to capture this	e-message	
56	Level commission	Yes, have a data field to capture this	e-message	
57	Clawback	Yes, have a data field to capture this	e-message	
58	Adjustment	Yes, have a data field to capture this	e-message	
59	Policy/Contract number	Yes, have a data field to capture this	e-message	
60	statement date	Yes, have a data field to capture this	e-message	

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
61	Total amount being paid in electronic statement	Yes, have a data field to capture this	<b>e-message</b>	
62	Name of provider/fund manager	Yes, have a data field to capture this	<b>e-message</b>	
63	Gross commission amount	Yes, have a data field to capture this	<b>e-message</b>	
<b>Documents a platform could send a CMS</b>				
64	Outputs / reports from tools	Yes	<b>PDF document</b>	Added as an activity entry with PDF attached
65	KFDs	Yes	<b>PDF document</b>	Added as an activity entry with PDF attached
66	Periodic statements	Yes	<b>PDF document</b>	Added as an activity entry with PDF attached
67	Contract notes	Yes	<b>PDF document</b>	Added as an activity entry with PDF attached



## Focus Solutions

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
<b>Tracking re-registrations / transfers onto platform - messages/data a platform could send a CMS</b>				
1	Acknowledgement of a completed re-registration form being received	No		
2	Re-registration form sent to provider/fund manager	No		
3	Re-registered assets received	No		
4	Re-registered assets outstanding	No		
5	Acknowledgement of a completed transfer form being received	No		
6	Transfer form sent to provider/fund manager	No		
7	Transferred assets received	No		
8	Transferred assets outstanding	No		
<b>New business tracking - messages/data a platform could send a CMS</b>				
9	Full copy of e-application	Plan to develop this data field	e-message	
10	New Business Tracking message	Plan to develop this data field	e-message	
11	Adviser ICRN	Yes, have a data field to capture this	e-message	
12	Initial commission expectation amount	Yes, have a data field to capture this	e-message	
13	Trail commission expectation amount	Yes, have a data field to capture this	e-message	
14	Date of purchase/transaction	Yes, have a data field to capture this	e-message	
15	Name of funds/holdings	Yes, have a data field to capture this	e-message	
16	Number of units purchased	Yes, have a data field to capture this	e-message	
<b>Valuations - messages/data a platform could send a CMS</b>				
17	Valuation date	Yes, have a data field to capture this	e-message	
18	Year to date subscriptions i.e. how much more the client can invest in e.g. an ISA	Yes, have a data field to capture this	e-message	
19	Policyholder details	Yes, have a data field to capture this	e-message	

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
20	Contract details	Yes, have a data field to capture this	e-message	
21	Current value of contract	Yes, have a data field to capture this	e-message	
22	Transfer value	Yes, have a data field to capture this	e-message	
23	Death claim value	No		
24	Exit Charge	No		
25	Market value reduction (MVR)	Plan to develop this data field	e-message	
26	Terminal bonus	No		
27	Beneficiary details	Yes, have a data field to capture this	e-message	
28	Retirement age	Yes, have a data field to capture this	e-message	
29	Details of tax free cash (paid and/or still available)	Yes, have a data field to capture this	e-message	
30	Critical yields	Plan to develop this data field		
31	Revised maximum income levels	No		
32	Fund choice	Yes, have a data field to capture this	e-message	
33	Fund split	Yes, have a data field to capture this	e-message	
34	Total fund value	Yes, have a data field to capture this	e-message	
35	Projected pension at retirement	Yes, have a data field to capture this	e-message	
36	Tax free cash	Yes, have a data field to capture this	e-message	
<b>Transaction history - messages/data a platform could send a CMS</b>				
37	Date of sale/redemption	Yes, have a data field to capture this	e-message	
38	Number if units sold/redeemed	Yes, have a data field to capture this	e-message	
39	Value of holdings at sale/redemption	Yes, have a data field to capture this	e-message	
40	Date of fund switch	Plan to develop this data field		
41	Name of funds switched	Plan to develop this data field		
42	Number of units switched	Plan to develop this data field		
43	Value of amount switched	Yes, have a data field to capture this	e-message	
44	Charge applied for switch	Plan to develop this data field		
45	Type of unit (accumulation/income)	Yes, have a data field to capture this	e-message	

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
46	Income taken (percentage/yield or fixed amount)	Yes, have a data field to capture this	e-message	
47	Income reinvested	Yes, have a data field to capture this	e-message	
48	Frequency of payment (monthly, yearly, quarterly, half yearly, single)	Yes, have a data field to capture this	e-message	
49	Details of cash transactions	Yes, have a data field to capture this	e-message	
<b>e-commissions - messages/data a platform could send a CMS</b>				
50	Client forename	Yes, have a data field to capture this	e-message	
51	Client forename	Yes, have a data field to capture this	e-message	
52	Initial commission	Yes, have a data field to capture this	e-message	
53	renewal commission (traditional)	Yes, have a data field to capture this	e-message	
54	fund based renewal	Yes, have a data field to capture this	e-message	
55	initial indemnity	No		
56	Level commission	Yes, have a data field to capture this	e-message	
57	Clawback	No		
58	Adjustment	No		
59	Policy/Contract number	Yes, have a data field to capture this	e-message	
60	statement date	Yes, have a data field to capture this	e-message	
61	Total amount being paid in electronic statement	Yes, have a data field to capture this	e-message	
62	Name of provider/fund manager	No		
63	Gross commission amount	Yes, have a data field to capture this	e-message	
<b>Documents a platform could send a CMS</b>				
64	Outputs / reports from tools	Yes	PDF document	CSV and Excel
65	KFDs	Yes	PDF document	
66	Periodic statements	Yes		
67	Contract notes	Yes		



## Intelliflo

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
<b>Tracking re-registrations / transfers onto platform - messages/data a platform could send a CMS</b>				
1	Acknowledgement of a completed re-registration form being received	Other (please specify in additional comments)		In discussions with various parties
2	Re-registration form sent to provider/fund manager	Other (please specify in additional comments)		In discussions with various parties
3	Re-registered assets received	Other (please specify in additional comments)		In discussions with various parties
4	Re-registered assets outstanding	Other (please specify in additional comments)		In discussions with various parties
5	Acknowledgement of a completed transfer form being received	Other (please specify in additional comments)		In discussions with various parties
6	Transfer form sent to provider/fund manager	Other (please specify in additional comments)		In discussions with various parties
7	Transferred assets received	Other (please specify in additional comments)		In discussions with various parties
8	Transferred assets outstanding	Other (please specify in additional comments)		In discussions with various parties
<b>New business tracking - messages/data a platform could send a CMS</b>				
9	Full copy of e-application	Yes, have a data field to capture this	PDF document	
10	New Business Tracking message	Other (please specify in additional comments)		In discussions with various parties
11	Adviser ICRN	Yes, have a data field to capture this	e-message	
12	Initial commission expectation amount	Yes, have a data field to capture this	e-message	
13	Trail commission expectation amount	Yes, have a data field to capture this	e-message	
14	Date of purchase/transaction	Yes, have a data field to capture this	e-message	
15	Name of funds/holdings	Yes, have a data field to capture this	e-message	
16	Number of units purchased	Yes, have a data field to capture this	e-message	

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
Valuations - messages/data a platform could send a CMS				
17	Valuation date	Yes, have a data field to capture this	e-message	
18	Year to date subscriptions i.e. how much more the client can invest in e.g. an ISA	Yes, have a data field to capture this	e-message	
19	Policyholder details	Yes, have a data field to capture this	e-message	
20	Contract details	Yes, have a data field to capture this	e-message	
21	Current value of contract	Yes, have a data field to capture this	e-message	
22	Transfer value	Yes, have a data field to capture this	e-message	
23	Death claim value	Yes, have a data field to capture this	e-message	
24	Exit Charge	Yes, have a data field to capture this	e-message	
25	Market value reduction (MVR)	Yes, have a data field to capture this	e-message	
26	Terminal bonus	Yes, have a data field to capture this	e-message	
27	Beneficiary details	Yes, have a data field to capture this	e-message	
28	Retirement age	Yes, have a data field to capture this	e-message	
29	Details of tax free cash (paid and/or still available)	Yes, have a data field to capture this	e-message	
30	Critical yields	Yes, have a data field to capture this	e-message	
31	Revised maximum income levels	Yes, have a data field to capture this	e-message	
32	Fund choice	Yes, have a data field to capture this	e-message	
33	Fund split	Yes, have a data field to capture this	e-message	
34	Total fund value	Yes, have a data field to capture this	e-message	
35	Projected pension at retirement	Yes, have a data field to capture this	e-message	
36	Tax free cash	Yes, have a data field to capture this	e-message	

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
<b>Transaction history - messages/data a platform could send a CMS</b>				
37	Date of sale/redemption	Other (please specify in additional comments)		In discussions with various parties
38	Number if units sold/redeemed	Other (please specify in additional comments)		In discussions with various parties
39	Value of holdings at sale/redemption	Other (please specify in additional comments)		In discussions with various parties
40	Date of fund switch	Other (please specify in additional comments)		In discussions with various parties
41	Name of funds switched	Other (please specify in additional comments)		In discussions with various parties
42	Number of units switched	Other (please specify in additional comments)		In discussions with various parties
43	Value of amount switched	Other (please specify in additional comments)		In discussions with various parties
44	Charge applied for switch	Other (please specify in additional comments)		In discussions with various parties
45	Type of unit (accumulation/income)	Other (please specify in additional comments)		In discussions with various parties
46	Income taken (percentage/yield or fixed amount)	Other (please specify in additional comments)		In discussions with various parties
47	Income reinvested	Other (please specify in additional comments)		In discussions with various parties
48	Frequency of payment (monthly, yearly, quarterly, half yearly, single)	Other (please specify in additional comments)		In discussions with various parties
49	Details of cash transactions	Other (please specify in additional comments)		In discussions with various parties
<b>e-commissions - messages/data a platform could send a CMS</b>				
50	Client forename	Yes, have a data field to capture this	e-message	
51	Client forename	Yes, have a data field to capture this	e-message	
52	Initial commission	Yes, have a data field to capture this	e-message	
53	renewal commission (traditional)	Yes, have a data field to capture this	e-message	
54	fund based renewal	Yes, have a data field to capture this	e-message	
55	initial indemnity	Yes, have a data field to capture this	e-message	
56	Level commission	Yes, have a data field to capture this	e-message	
57	Clawback	Yes, have a data field to capture this	e-message	
58	Adjustment	Yes, have a data field to capture this	e-message	
59	Policy/Contract number	Yes, have a data field to capture this	e-message	
60	statement date	Yes, have a data field to capture this	e-message	
61	Total amount being paid in electronic statement	Yes, have a data field to capture this	e-message	
62	Name of provider/fund manager	Yes, have a data field to capture this	e-message	
63	Gross commission amount	Yes, have a data field to capture this	e-message	

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
Documents a platform could send a CMS				
64	Outputs / reports from tools	Yes	<a href="#">PDF document</a>	
65	KFDs	Yes	<a href="#">PDF document</a>	
66	Periodic statements	Yes	<a href="#">PDF document</a>	
67	Contract notes	Yes	<a href="#">PDF document</a>	



## Plum

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
<b>Tracking re-registrations / transfers onto platform - messages/data a platform could send a CMS</b>				
1	Acknowledgement of a completed re-registration form being received	Yes, have a data field to capture this		
2	Re-registration form sent to provider/fund manager	Yes, have a data field to capture this		
3	Re-registered assets received			
4	Re-registered assets outstanding			
5	Acknowledgement of a completed transfer form being received	Yes, have a data field to capture this		
6	Transfer form sent to provider/fund manager	Yes, have a data field to capture this		
7	Transferred assets received			
8	Transferred assets outstanding			
<b>New business tracking - messages/data a platform could send a CMS</b>				
9	Full copy of e-application	Yes, have a data field to capture this		
10	New Business Tracking message	Yes, have a data field to capture this		
11	Adviser ICRN			
12	Initial commission expectation amount	Yes, have a data field to capture this		
13	Trail commission expectation amount	Yes, have a data field to capture this		
14	Date of purchase/transaction	Yes, have a data field to capture this		
15	Name of funds/holdings	Yes, have a data field to capture this		
16	Number of units purchased	Yes, have a data field to capture this		

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
Valuations - messages/data a platform could send a CMS				
17	Valuation date	Yes, have a data field to capture this		ProviderLink
18	Year to date subscriptions i.e. how much more the client can invest in e.g. an ISA	Yes, have a data field to capture this		
19	Policyholder details	Yes, have a data field to capture this		
20	Contract details	Yes, have a data field to capture this		ProviderLink
21	Current value of contract	Yes, have a data field to capture this		ProviderLink
22	Transfer value	Yes, have a data field to capture this		ProviderLink
23	Death claim value	Yes, have a data field to capture this		
24	Exit Charge	Yes, have a data field to capture this		
25	Market value reduction (MVR)			
26	Terminal bonus	Yes, have a data field to capture this		Fund only
27	Beneficiary details	Yes, have a data field to capture this		
28	Retirement age	Yes, have a data field to capture this		
29	Details of tax free cash (paid and/or still available)			
30	Critical yields			
31	Revised maximum income levels			
32	Fund choice	Yes, have a data field to capture this		
33	Fund split	Yes, have a data field to capture this		
34	Total fund value	Yes, have a data field to capture this		
35	Projected pension at retirement	Yes, have a data field to capture this		
36	Tax free cash			

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
<b>Transaction history - messages/data a platform could send a CMS</b>				
37	Date of sale/redemption	Yes, have a data field to capture this		
38	Number of units sold/redeemed	Yes, have a data field to capture this		
39	Value of holdings at sale/redemption	Yes, have a data field to capture this		
40	Date of fund switch	Yes, have a data field to capture this		
41	Name of funds switched	Yes, have a data field to capture this		
42	Number of units switched	Yes, have a data field to capture this		
43	Value of amount switched	Yes, have a data field to capture this		
44	Charge applied for switch	Yes, have a data field to capture this		
45	Type of unit (accumulation/income)	Yes, have a data field to capture this		
46	Income taken (percentage/yield or fixed amount)	Yes, have a data field to capture this		
47	Income reinvested	Yes, have a data field to capture this		
48	Frequency of payment (monthly, yearly, quarterly, half yearly, single)	Yes, have a data field to capture this		
49	Details of cash transactions	Yes, have a data field to capture this		
<b>e-commissions - messages/data a platform could send a CMS</b>				
50	Client forename	Yes, have a data field to capture this		CommissionLink
51	Client forename	Yes, have a data field to capture this		CommissionLink
52	Initial commission	Yes, have a data field to capture this		CommissionLink
53	renewal commission (traditional)	Yes, have a data field to capture this		CommissionLink
54	fund based renewal	Yes, have a data field to capture this		CommissionLink
55	initial indemnity	Yes, have a data field to capture this		CommissionLink
56	Level commission	Yes, have a data field to capture this		CommissionLink
57	Clawback	Yes, have a data field to capture this		CommissionLink

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
58	Adjustment	Yes, have a data field to capture this		CommissionLink
59	Policy/Contract number	Yes, have a data field to capture this		
60	statement date	Yes, have a data field to capture this		
61	Total amount being paid in electronic statement	Yes, have a data field to capture this		
62	Name of provider/fund manager	Yes, have a data field to capture this		
63	Gross commission amount	Yes, have a data field to capture this		
<b>Documents a platform could send a CMS</b>				
64	Outputs / reports from tools	Yes		Diary/File Management
65	KFDs	Yes		Diary/File Management
66	Periodic statements	Yes		Diary/File Management
67	Contract notes	Yes		Diary/File Management



## SSP Swift

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
<b>Tracking re-registrations / transfers onto platform - messages/data a platform could send a CMS</b>				
1	Acknowledgement of a completed re-registration form being received	Yes, have a data field to capture this		
2	Re-registration form sent to provider/fund manager	Yes, have a data field to capture this		
3	Re-registered assets received	Yes, have a data field to capture this		
4	Re-registered assets outstanding	Yes, have a data field to capture this		
5	Acknowledgement of a completed transfer form being received	Yes, have a data field to capture this		
6	Transfer form sent to provider/fund manager	Yes, have a data field to capture this		
7	Transferred assets received	Yes, have a data field to capture this		
8	Transferred assets outstanding	Yes, have a data field to capture this		
<b>New business tracking - messages/data a platform could send a CMS</b>				
9	Full copy of e-application	Yes, have a data field to capture this		
10	New Business Tracking message	Yes, have a data field to capture this		
11	Adviser ICRN	Yes, have a data field to capture this		
12	Initial commission expectation amount	Yes, have a data field to capture this		
13	Trail commission expectation amount	Yes, have a data field to capture this		
14	Date of purchase/transaction	Yes, have a data field to capture this		
15	Name of funds/holdings	Yes, have a data field to capture this		
16	Number of units purchased	Yes, have a data field to capture this		
<b>Valuations - messages/data a platform could send a CMS</b>				
17	Valuation date	Yes, have a data field to capture this	e-message	
18	Year to date subscriptions i.e. how much more the client can invest in e.g. an ISA	No		
19	Policyholder details	Yes, have a data field to capture this	e-message	

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
20	Contract details	Yes, have a data field to capture this	e-message	
21	Current value of contract	Yes, have a data field to capture this	e-message	
22	Transfer value	Yes, have a data field to capture this	e-message	
23	Death claim value	Yes, have a data field to capture this	e-message	
24	Exit Charge	Yes, have a data field to capture this	e-message	
25	Market value reduction (MVR)	Yes, have a data field to capture this	e-message	
26	Terminal bonus	Yes, have a data field to capture this	e-message	
27	Beneficiary details	Yes, have a data field to capture this		
28	Retirement age	Yes, have a data field to capture this	e-message	
29	Details of tax free cash (paid and/or still available)	Yes, have a data field to capture this	e-message	
30	Critical yields	Yes, have a data field to capture this	e-message	
31	Revised maximum income levels	No		
32	Fund choice	Yes, have a data field to capture this	e-message	
33	Fund split	Yes, have a data field to capture this	e-message	
34	Total fund value	Yes, have a data field to capture this	e-message	
35	Projected pension at retirement	Yes, have a data field to capture this	e-message	
36	Tax free cash	Yes, have a data field to capture this	e-message	
<b>Transaction history - messages/data a platform could send a CMS</b>				
37	Date of sale/redemption	Yes, have a data field to capture this		
38	Number if units sold/redeemed	Yes, have a data field to capture this		
39	Value of holdings at sale/redemption	Yes, have a data field to capture this		
40	Date of fund switch	Yes, have a data field to capture this		
41	Name of funds switched	Yes, have a data field to capture this		
42	Number of units switched	Yes, have a data field to capture this		
43	Value of amount switched	Yes, have a data field to capture this		
44	Charge applied for switch	Yes, have a data field to capture this		
45	Type of unit (accumulation/income)	Yes, have a data field to capture this		

Does your CMS have a data field(s) to capture the following data/messages?		Yes, have a data field to support this message / No / Plan to develop this data field	Which format can this data/message be received in?	Additional comments
46	Income taken (percentage/yield or fixed amount)	No		
47	Income reinvested	No		
48	Frequency of payment (monthly, yearly, quarterly, half yearly, single)	Yes, have a data field to capture this		
49	Details of cash transactions	Yes, have a data field to capture this		
<b>e-commissions - messages/data a platform could send a CMS</b>				
50	Client forename	Yes, have a data field to capture this	e-message	
51	Client forename	Yes, have a data field to capture this	e-message	
52	Initial commission	Yes, have a data field to capture this	e-message	
53	renewal commission (traditional)	Yes, have a data field to capture this	e-message	
54	fund based renewal	Yes, have a data field to capture this	e-message	
55	initial indemnity	Yes, have a data field to capture this	e-message	
56	Level commission	Yes, have a data field to capture this	e-message	
57	Clawback	Yes, have a data field to capture this	e-message	
58	Adjustment	Yes, have a data field to capture this	e-message	
59	Policy/Contract number	Yes, have a data field to capture this	e-message	
60	statement date	Yes, have a data field to capture this	e-message	
61	Total amount being paid in electronic statement	Yes, have a data field to capture this	e-message	
62	Name of provider/fund manager	Yes, have a data field to capture this	e-message	
63	Gross commission amount	Yes, have a data field to capture this	e-message	
<b>Documents a platform could send a CMS</b>				
64	Outputs / reports from tools	Yes, have a data field to capture this	PDF document	
65	KFDs	Yes, have a data field to capture this	PDF document	
66	Periodic statements	Yes, have a data field to capture this	PDF document	
67	Contract notes	Yes, have a data field to capture this	PDF document	



## SSP Swift

**For additional comments please use the space provided here:**

**For tracking re-registrations and New Business we would use our workflow system to track the process and all statuses will be recorded via the workflow. With regard to format we would prefer a**